# **DEVELOPMENT OF FACET5**

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# **DEVELOPMENT OF FACET**

#### Rationale

Facet5 was designed in response to requests from companies for an instrument that combined the ease of use and utility of some of the widely used management tools and the psychometric integrity of the mainstream personality questionnaires.

#### Item Generation

The first step was to thoroughly review the relevant literature in order to define the domains to be covered. In addition we reviewed a number of well-established (and some less established) questionnaires. Included in these were:-

- The Humm-Wadsworth Temperament Scale (the first "criterion keyed" personality questionnaire and fore-runner of the California Personality Inventory and MMPI).
- The California Personality Inventory (CPI).
- The 16PF
- The Eysenck Personality Inventory.
- The Myers-Briggs Type Indicator.
- OPQ

In addition a database of over 200 items generated by repertory grid studies with managers in industries ranging from airlines to cosmetics were sifted for content and modified for inclusion where appropriate. These were all items which previous research had proven were related to performance.

This produced a large selection of items that were repeatedly culled, refined and assigned. Item assignment was tested by retranslation by psychologists and non-psychologists. This resulted in 106 items, upon which there was general agreement, being assembled for trial.

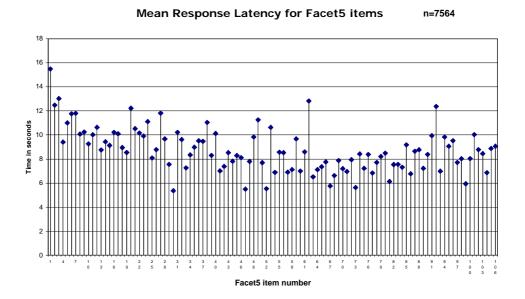
#### **Format**

When making judgements about personality, people often use an implicit opposite to help define the scale. For example, if trying to rate how "friendly" someone is, they will think of an implicit "hostile" as being the opposite end of the scale. This then serves to "anchor" the ends of the scale and makes judgements easier.

The semantic differential technique developed by Osgood embodies this principle by identifying antonymous adjectives, phrases or statements and placing them at opposite ends of the scale. Such antonymous pairs emerge naturally as elements in repertory grid analysis e.g., "this person is organised whereas these two are disorganised!" The Facet5 Questionnaire takes this style. The 106 items are arranged as antonymous phrases with a five point scale between them. <sup>1</sup> Items are presented one at a time and people have the opportunity to answer the question or to skip it and come back to it later. This is very different from the

earlier paper version where a person was presented with approximately 20 items on a page.

One effect of this should be to make the item response times quicker and we have evidence that this has happened. For example, experience with many thousands of paper based questionnaires indicates that a total response time of about 20-25 minutes to complete the questionnaire is normal. This obviously varies from person to person but in general a response time of more than 30 minutes was thought to be quite long. People completing Facet5 as part of a selection process tend to take a little longer than those completing it as part of a development plan. This is in keeping with general experience of the use of psychometric instruments. However early evidence from web-based completion is that the response time is much faster, around 10 seconds per item.<sup>2</sup> The chart below indicates the response times for 7564 respondents who completed Facet5 on-line. From this chart it is apparent that most items are responded to in less than 10 (average response latency=8.8) seconds giving an overall test time of about 15 minutes. Therefore the combination of sophisticated item format and web-administration leads to a significant decrease in test taking time.



#### Item Analysis

Of the 106 variables trialled, 83 were felt to be sufficiently well distributed to warrant inclusion in the scale. The mean scores, standard deviations and range were checked to eliminate those items that were unsuitable. These items were then analysed by correlating the items with the total scores to ensure that items clearly loaded on one factor. This helped to clarify how items should load on each scale. <sup>3</sup>

#### Distributions/Biases

The items and scores on each of the dimensions assessed by The Facet5 Questionnaire have been selected in such a way as to give outcomes which, when tested on sufficient members of the management population, will spread along the scale according to the normal distribution curve, or Gaussian distribution. This should be taken into account when interpreting scores from The Facet5 Questionnaire.

The Facet5 Questionnaire is normative, in that results are expressed by comparison with a particular reference group or "norm". The scores obtained are of value when comparing individuals with one another. They provide a statement of how each individual scores on each of the factors. This can be set against a similar statement obtained from other people who have answered the same questionnaire, so that people can be compared with one another, as in say, the assessment of two candidates for the same job.

The Facet5 Questionnaire must be computer scored. This is because the final scores are complex linear sums in which many items must be reversed and then standardised (converted to Stens). This is a daunting task manually. A computer can do this in seconds. The scores which emerge are not highly correlated so it is perfectly possible for a candidate to be equally high (or low) on all the factors or to achieve any other combination of scores.

The scoring programme automatically converts the scores to "Sten" scores. "Sten" stands for "Standard Ten" and refers to a set of scores that have a minimum of 1, a maximum of 10, a mean of 5.5 and a standard deviation of 2.4 Scores of below 4 or above 7 are unusual and can genuinely be described as "Low" or "High". As individual scores reach these extremes, so the typical behaviour will more obviously reflect the core elements of the factor.

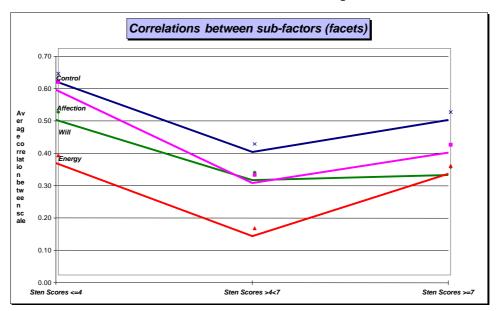
People who obtain "medium" scores that fall in the mid-range of the scale, should be considered to have a balanced personality with respect to that particular dimension. This represents those individuals whose scores occur in the middle of the possible range of scores. Those in the upper section of the scoring range would be considered to be "high" scores on that dimension, while those in the lower part of the scoring range are considered to be "low" scores on that dimension. These upper and lower bands are described in the User Manual as "+" or "-". A person whose score on the "Control" dimension is in the top 15% (above 7), would be described as "C+" whereas a person who is in the lower 15% (below 4) on the "Energy" dimension would be described as "E-".

#### **Extraction of Sub-factors**

Although the items loading on each scale were selected to reflect the relevant domain, clearly there are different aspects of these domains. For example Will covers Determination, Confrontation and Independence and all the items go together to form the total score on Will. However it is also likely that some people will select the items relating to Confrontation for example more heavily than the ones relating to Independence. To check this, the items which score on each Facet5 factor were analysed to see whether they could be grouped into the sub-factors (or facets) of the domain.

We selected a sample of 1000 cases of data and analysed each set of items in turn. We used a method of factor analysis to see which items tended to correlate with each other. We used principle components method to extract the factors and then a Varimax rotation to clarify the meaning of the factors. This process was repeated for each of the five main factors.

These sub-factors extracted are a very valuable guide to interpretation of Facet5 profiles. Very high scores can only occur when the respondent has marked almost every item from the domain in the expected way. Therefore the sub-factors will also be very high. The same is true for very low scores where the sub-factors will be consistently low. However, more moderate scores may be achieved by a number of different scores on the sub-factors and this can result in quite varied combinations of sub-factor scores. This is seen by the differences in correlations at different score levels. This is shown in the following table.



This shows that at both the low and high ends of the scales, the sub-factor scores are more highly correlated that they are for mid-range scores. All the lines have a "dip" in the middle.

# Interrelationships between the Five Factors

For a personality questionnaire to be effective, it is not only important that it has sufficient factors to account for most of the variation between people but also that the factors which it produces are not heavily overlapping. There is little point in measuring the same thing a number of times over. The degree to which a questionnaire does this is demonstrated by the *correlations* between the factors. In general terms, a correlation of less than 0.35 would be considered low enough to suggest that the factors are not duplicated. The correlations between Facet5 factors are shown below.<sup>5</sup>

	FACET5- CORRELATIONS						
	Energy	Affection	Control	Emotionality			
Will	0.25	-0.25	0.09	-0.18			
Energy		0.03	0.01	-0.30			
Affection			0.11	-0.10			
Control				0.01			
Average absolute correlation: 0.03							

From this it can be seen that the Facet5 factors are largely independent although there are small negative correlations between Will and Affection and between Energy and Emotionality.

### The Role of Emotionality

Most trait models treat all the factors as roughly equal. However, the high proportion of the variance accounted for by Emotionality leads some (e.g. Brand) to suggest a more complex interactive model. Brand's model is operationalised in Facet5. The main advantage that this approach confers is that Emotionality is viewed as an interpreting factor on a different plane from the others and its main function is to affect the interpretation of the picture portrayed by the other four factors.

In this model Will and Affection tend to pull in opposite directions as do Energy and Control. Readers familiar with Osgood's work<sup>6</sup> on Semantic Differentials will recognise his elements of Potency (Will vs. Affection) and Surgency (Energy vs. Control). Emotionality however has a complex effect on the observed behaviour, tending to blur the picture by introducing emotional responses into an otherwise stable and reliable picture. The higher the level of Emotionality, the more difficult it is to be certain how a person will react and the more likely it is that negative aspects of behaviour will be seen.<sup>7</sup>

# Seeking a Balanced Sample

The original sample on which the Facet5 norms were based was collected somewhat opportunistically and was designed to provide a broad representation of the working population. Therefore there were more men than women (67%:33%), there were more young people (87% < 40 years old) and they were in general well educated (83% had at least finished 12 years of schooling). In the original research we found few differences in the overall scores gained by men and women. The only scale to show a reliable and consistent difference between men and women was Emotionality where men scored slightly lower than women. Although this difference was significant statistically it was not large enough warrant a different interpretation strategy. More recently we have been asked about these differences and whether the position had changed over the 10 years since we first started collecting data.

This should have been a simple question to answer since we had access to a large amount of data. We have thousands of cases of our own collected during assessment and development programmes. We also had large amounts of data collected by other people who were using Facet5 in a commercial setting. It should be a simple exercise to calculate the statistics and see how they had moved. However each time we tried it we got a different answer. Why was this?

The answer probably lies in the inconsistent and serendipitous way in which the data was collected. Our database was supplied from, by definition, our own clients, and therefore the composition will reflect the source of the data. We had one organisation that used it extensively for recruitment of call centre staff (mostly female) and their data showed a marked shift from the population norm. Another company used Facet5 extensively for selection of finance staff (mostly male) while a third focused on recruitment of field sales support staff (female). Our own data on the other hand was largely from development centres so this introduced a different bias again. We then added 2000 cases of Australian data which introduced a third possible source of bias.

#### Sources of Bias

The fact that each of these sub-samples is biased is not surprising. In fact ASA (Attraction-Selection-Attrition) theory suggests that this is exactly what we should expect. This theory states that an applicant group will be pre-selected and that this pre-selection will be shaped by the demands of the organisation. The organisation's culture will define the way in which it represents itself to the world and therefore its attractiveness to different people. For example a very stable business with a long history of customer service will emphasise this in its recruitment advertisements. People who like the idea of a job serving customers will be attracted (the "A" part of ASA) to such an organisation. People who are more interested in an aggressive, commercial operation may find the role (as described) uninspiring and walk on by.

Such an organisation will then set up a selection process designed to bring forward those people who it feels share its corporate values (Service) and weed out those who don't (the "S" part of ASA).

Finally, if the "wrong" people do slip through in spite of the selection process it will not take long before they realise that they and the organisation are not "getting along" and they will be the first to leave (or be pushed out - "the face doesn't fit"). This is the final "A" from ASA.

It is this ASA process which is claimed to be responsible for the long term success of people in organisations. In the short term, success depends on how good they are at the job (how "Competent") but in the long term it's more about the degree to which they share the corporate values and vision. Technically this is the difference between Person-Job (P-J) fit and Person-Organisation (P-O) fit.<sup>9</sup>

So what does this mean to us. It is clear that ASA theory is affecting the composition of the database and therefore we need to find a way of balancing (or removing) the effects as much as possible. One solution is to take a "balanced" sample. Such a sample would select cases from the data but in such a way as to make sure that different organisations and job functions are equally represented. Given that some functions are heavily sex biased (there are far more female call centre operators) we should also balance for sex.

#### Creating the Sample

To do this we identified the cases in the database where the function was known and where there was a sufficient number of both males and females. This was laborious but in the end we identified 12 such job functions which would give us 10 males and 10 females in each function. We then calculated the significance of the differences between the two sets of scores on the five main Facet5 factors (we assumed equal variances - no Fs were significant so it seems reasonable to make this assumption). The results are shown in the table below.

	Will	Energy	Affection	Control	Emotionality
Male	4.96	5.93	6.37	6.15	4.82
Female	4.58	5.69	6.49	6.16	5.32
T	1.54	.916	483	008	-2.14
Sig.	.125	.361	.630	.994	.034

This shows that, as with the original sample, the only factor where there is a significant difference is Emotionality. Women scored slightly higher than Men (5.32 vs. 4.82), which is in keeping with the original research. It is also in keeping with most other research, which shows Women to be a little more "Emotional" than Men.

#### What does this mean?

This research suggests that, while there may be suspicions that women score differently from men (higher on affection etc) this feeling may only be due to selective sampling. Experience with Facet5 in a specific environment can easily

leave a user with the feeling (and indeed the fact) that there is a consistent pattern appearing in the profiles he/she is seeing. However when the data is looked at more carefully and the effects of pre-selection and function specific differences is ruled out, these differences disappear except for Emotionality where a small difference will remain.

#### Are these differences unique to Facet5?

It is helpful to note that the original 16PF showed significant sex differences on 14 of the 16 factors (only factor B - Intelligence and Q2 - Self-sufficiency showed no difference). <sup>10</sup> In the new 16PF5, 13 of the 16 factors show a sex bias (all except F - Liveliness, Q1 - Openness to change and Q4 - Tension). <sup>11</sup> The OPQ Concept 5 cites significant sex differences on 6 of the 30 scales <sup>12</sup> and the MBTI, while not citing specific studies looking at the significance of sex differences, does produce separate Norm tables for Males and Females <sup>13</sup>.

#### **Norms**

The Facet5 questionnaire was developed for the "Managerial and Professional" groups. The norms therefore relate specifically to that group. The database is a dynamic and rapidly growing one and currently contains in excess of 14000 profiles. For demonstration purposes we have chosen some representative samples of cases to show the way in which the database is made up. The details are:

#### Original Development Sample

Age	Number	%
20	110	18.8%
25	218	37.3%
30	74	12.7%
35	52	8.9%
40	55	9.4%
45	50	8.6%
50	9	1.05%
55	1	0.01%
60	3	0.05%

GENDER	FREQUENCY	PERCENT
Female	226	32.6%
Male	467	67.4%

EDUCATION	FREQUENCY	PERCENT
Unknown	51	8.2%
Below O's	9	1.5%
O' Levels	44	7.1%
A' Levels	68	11.0%
Degree	399	64.4%
Higher Degree	49	7.9%

#### **Additional Norms**

Additional norm groups are regularly added to the system and are available to all users. Recently a range of Gender, Function and Industry Sector norms have been made available. For details please go to the Facet5 web-site.

#### Selecting which norms to use

You have the opportunity to decide which norms to use whenever you print a report. Profiles can be re-normed "on-the-fly"

# Reliability

A profile like Facet5 is only useful if the information it gives is generally reliable. If a person completes the questionnaire a second time will they come up with broadly the same scores? More importantly will the results be interpreted in broadly the same way and would similar conclusions be drawn?

There are many technical definitions of reliability each of which is subtly different from the others. For example we can divide the test into two halves and calculate scores for each scale based on only half the items - are they similar? This tells us whether the important items are evenly spread throughout the questionnaire. This is "Split-Half" reliability. (If there is an odd number of items in each half there are adjustments to be made to balance them up.)

A different type of reliability is calculated by sequentially deleting each item in turn and seeing what the effect on the overall score is. This is referred to as Cronbach's  $\alpha$  and is a favourite of psychometricians.

Finally there is the one which most people would think of which is to look at two sets of scores on two different occasions. This may appear to be the most intuitively obvious measure but is frequently sneered at by psychometricians. There are after all a number of factors that can influence things.

The most obvious and significant is the time between the first and second administration. If the time delay is very short (say a week or two) then you would expect the person to be in a similar frame of mind and not to have changed his/her core views very much. Therefore the results should be quite similar. This has therefore been called "dependability" rather than reliability. Reliability (or Stability) is generally retained for longer re-test intervals.

Each of these approaches to evaluating Reliability yields a statistic that is a type of correlation co-efficient. Therefore the figures produced can range from 0 to 1. (Negative reliability statistics are conceivable but would make for interesting interpretation.)

#### Doing it again (Re-test reliability or Stability)

People complete Facet5 twice for any number of reasons including:

- Mistake they forgot that they had done it before. This is not uncommon with selection candidates.
- Deception they just want to see if they can sneak a "better" set of scores.
   Again selection candidates are more likely to try this especially if they were not appointed the first time they may believe that the Facet5 profile influenced the decision.
- Interest they want to see if they've changed in some way since the first time.

- Time it has been a long time since they did it the first time and we wanted to work with more up-to-date information. This would normally be the case if we knew that a person had completed it more than 3 years previously.
- Mischief they want to see if they can move the scores in a specific direction.
   This is often the case with observers on assessment and development programmes where Facet5 is being used and they have a little spare time.

In an ideal world we would like to see a sample of people who completed the questionnaire and then had nothing to do with Facet5 until they were asked to complete it again at least year later. This doesn't happen. Again in a perfect world we would like to have nobody who was "playing" with the data or attempting to deliberately distort it. In our sample we knew we had people from each of these groups - we were not always sure who was who.

#### Creating the Sample

When we amalgamated the databases that we had collected we were able to identify 107 people who had completed the questionnaire twice. Since we actually knew the circumstances of most of these we could say with confidence that the time delay was at least 3 months in all cases and as long as 3 years in some. We were also able to identify some people from the last group who had deliberately tried to distort the data (we knew because they told us).

We removed these "mischief-makers" and re-ran the re-test reliability on the new sample of 68. Both sets of results are shown in Table 1.

Table 1

	Will	Energy	Affection	Control	Emotionality
Original data	.84	.85	.81	.85	.92
Reduced sample	.86	.81	.84	.79	.85

#### Is this good or bad?

Good question! Statisticians are the worst people to ask about this since they will always say the results need more data, more analysis or more time. However we need to draw a line somewhere. In the social sciences re-test reliability figures (which are correlations and can therefore range from -1.00 to +1.00) are usually expected to be in excess of 0.6 and if they are too high then the data may be held to be suspect. Out of interest we can compare some other well-known and highly reputable instruments which publish their figures. They are shown in Table 2 below.

Table 2

Source	Range	Average
Facet5 5.0 (reduced sample)	.7986	.83
MBTI <sup>14</sup>	.6075	.71
MBTI <sup>15</sup>	.6478	.70
16PF <sup>16</sup>	.2863	.47
16PF <sup>17</sup>	.2164	.47

#### Internal Consistency (Cronbach's **a**)

 $\alpha$  are useful for both test users and developers. The test user needs to know that the items that are used to measure a particular domain are consistent in that they are all measuring the same concept to a broad degree. However there's not much point in asking exactly the same question 10 times. This would yield a very consistent score but would be very narrow in its application. A better approach is to define the whole domain you are interested in and then create items which probe into all aspects of the domain. So although the questions are broadly similar, they are not exactly the same.

Test developers use  $\alpha$ 's to decide which items to include when they construct a scale. The full calculation of  $\alpha$  for a scale also shows how the individual items contribute to the scale and what would happen if it was removed. They are an essential part of the continuing review of any psychometric instrument.

So what value should we aim for? Psychometricians have to decide where to draw the line between being very Consistent (high  $\alpha$ ) but missing part of the domain or being very broad-brush (low  $\alpha$ ) but losing focus on the key aspects. There is no exact rule for this but there are "rules of thumb" that have developed.  $\alpha$ 's are a type of correlation but they can only range from 0 to 1. An  $\alpha$  value of about 0.7 is generally held to be desirable, giving the required balance of breadth of cover and depth of analysis.

lpha's have been calculated on the original development sample and are shown in the table below.

	Will	Energy	Affection	Control	Emotionality
Coefficient $lpha$	.75	.71	.80	.78	.81

# **Validity**

It is not sufficient for a test to be reliable. For example it is conceivable that a test could consistently report that a person was stunningly attractive to the opposite sex but without some independent corroboration it may be dangerous to proceed to implement a plan based on it. To determine whether a set of results will be of use they must also be **valid** i.e. they must actually mean what they imply.

As with reliability, there are many ways of telling whether a test is valid. 18 However those that would appear to be most important for practitioners are:

#### Face Validity

Face validity refers to the external view of the process or model, i.e. Does it look as if it is going to give the information required. While this is a non-statistical measure, it is still vitally important for personality measures. This is because personality measures rely on the integrity of the respondent and if the person has no faith in the process, then it is unlikely they will take it seriously.

Facet5 has attempted to ensure face validity in three ways:

- 1. to only use work based language
- 2. to avoid the use of idiom or slang as far as possible
- 3. to use an item structure which is not obvious thereby making it difficult to identify so-called "correct" responses.

#### **Construct Validity**

Construct validity refers to the degree to which the model is felt to measure the theoretical characteristic (or "construct") which it says it is measuring. Facet5 has attempted to ensure construct validity by thorough research into the field of personality theory and other people's findings. For example if Facet5 attempts to measure the construct of "Will", does it seem to exist as a factor in other people's work?

#### Content Validity

Content validity is a very important concept since it demands that a personality model should make sure it is covering the whole domain of the factors it claims it is measuring. A good example would be "Will" where it is known that stubbornness, commitment and independence are all aspects of "Will". Content validity is the degree to which the model covers the domain or "content" of the factor under discussion. For example, some questionnaires measure Emotionality entirely by the element of "Confidence". While Confidence is certainly an element within the domain of Emotionality, it is by no means the whole domain. Such issues as anxiety, optimism, and physical reactions are also part of the domain and must be measured. Facet5 attempts to cover this important domain very

broadly. The domains covered by Facet5 can be seen in the description of the sub-factors or "facets" measured by the model.

#### **Concurrent or Predictive Validity**

These are similar concepts in that they both ask whether the model predicts some specific outcome. For example if Facet5 states that a person has scored very high on "Will" then this should be recognised in some other, independent way. For example a third party could be asked to give independent ratings of the respondent on behaviours which are known to relate to Will. If the ratings agree then the construct can be said to have predictive or concurrent validity.

More often people use the term to mean the degree to which test scores can predict a particular outcome. If, for example, sales performance is measured and then compared to Facet5 profiles, this would be a measure of concurrent validity. If the profiles were collected but no action taken until data on job performance was available this would be referred to as predictive. The two terms are very similar but the subtle difference is important.

Predictive and Concurrent validity can only be established through studies where a specific outcome was required. This outcome may be a reduction in staff turnover, increased sales success or something similar. For examples of Facet5 in this type of application users should refer to the authors where such studies are available or to the *Facet5 Live* section of the Facet5 web site. Studies are continuing on a regular basis and are published as they become available.

# Comparison with other models

The comparison table shown previously indicates some of the relationships between Facet5 and other personality measures. For the development of Facet5 we used the 16PF to see how Facet5 compares.

#### Facet5 vs. 16PF

The 16PF was created by Raymond Cattell after much research and still stands proud as a respected measure. It measures, as its name implies, 16 Personality Factors. The relationship between Facet5 and these 16 factors is shown in the following table. The figures shown are correlation coefficients and as such can vary from 1.00 meaning there is a perfect relationship between the Facet5 scores and the 16PF scores through to -1.00 meaning that there is a perfect but inverse relationship. Correlations of around 0.0 indicate that there is no link between the two sets of scores at all.

-	Correlations between	n Fac	et5 a	nd 16	PF	
	16PF Primary Factor 🤏	Wil	Ene	Aff	Con	Emo
Α	COOL <-> WARM		0.34			
В	INTELLIGENCE					
С	EMOTIONAL <-> CALM					-0.35
Ε	SUBMISSIVE <-> DOMINANCE	0.33	0.28			
F	SOBER<->ENTHUSED	0.33	0.54			
G	EXPEDIENT<->CONSCIENTIOUS				0.44	
Н	SHY<->BOLD		0.54			-0.37
I	TOUGH <-> TENDER					
L	TRUSTING<->SUSPICIOUS			-0.40		
М	PRACTICAL<->IMAGINATIVE				-0.33	
N	NATURAL<->CALCULATING				0.35	
Ο	ASSURED<->APPREHENSIVE					0.42
Q1	CONSERVATIVE<->LIBERAL					
Q2	GROUP<->INDIVIDUAL		-0.39			
Q3	UNDISCIPLINED<->CONTROLLED				0.36	
Q4	RELAXED<->TENSE					0.33

We have only printed the highest loading correlations (i.e. greater than 0.3) to show the flavour more clearly. From this table the meaning of the Facet5 factors can be easily extracted.

- W+ people are Dominant and Positive,
- E+ people are Warm, Outgoing, Group Oriented but also quite Dominant and Enthusiastic.
- A+ people are trusting
- C+ people are Conscientious, Practical, Controlled and Calculating (in a social sense).
- Em+ people are Tense, Apprehensive, Emotional and socially awkward.

Clearly Facet5 and the 16PF are measuring largely similar characteristics. To further assess the similarity between Facet5 and the 16PF we subjected the latter

to a Factor Analysis of the 16 Primary Factors. The first step is to correlate the 16 factors among themselves and to see which are related. Factor analysis then groups the items according to this interrelationship. This analysis clearly indicated that five factors would seem to be a good solution for this 16PF data. (For the statisticians among you there were five factors with eigenvalues greater than 1.00 and the scree curve takes a marked break at that point. Therefore "little jiffy" says 5!) The meaning of these factors is shown in the table below.

	FACTOR ANALYSIS OF 16 PF						
Factor	Items I	Loading	Description	Facet5 factor			
	Q4	0.78	TENSE				
Factor 1	Ο	0.74	APPREHENSIVE				
	Н	0.65	SHY	EMOTIONALITY			
	С	0.76	EMOTIONAL				
	Α	0.79	WARM				
Factor 2	F	0.60	ENTHUSED	ENERGY			
	Q2	-0.66	GROUP				
	Е	0.79	DOMINANT				
Factor 3	Q1	0.66	LIBERAL	WILL			
	L	0.49	SUSPICIOUS				
	G	0.63	CONSCIENTIOUS				
Factor 4	Q3	0.60	CONTROLLED	CONTROL			
	N	0.48	CALCULATING				
	M	0.38	IMAGINATIVE	AFFECTION			
Factor 5	I	0.87	TENDER	AFFECTION			

This table shows that the relationship between Facet5 and the 16PF is very clear and all in the expected direction.

# Computer/web based administration

Moving from paper based to computer based and then to web-based administration has raised a number of questions. One aspect concerns veracity. Web-based testing allows remote data collection but opens the possibility that the respondent may be receiving help when completing the items. They may have a team of mathematicians, an array of dictionaries or a set of on-line encyclopaedias at hand. Are we even sure that it is the person we expect it to be? Can we use retina scanning, key-press analysis or finger printing to be certain who it is at the other end of the line? A relatively simple solution to this problem and one that meets all the best practice guidelines for test administration is proctored testing. Here the test is administered under controlled conditions in the same way that testing has been for generations. This solves all the problems – and immediately eliminates one of the most powerful benefits – remote data capture. However many users have stated that remote data capture is probably the most important single benefit which web-based testing offers.

Resolution of this problem therefore would appear to be critical to the success of web-based testing. So what can we do about it? First we need to differentiate between different aspects of the problem. It would appear that the risks posed to cognitive tests are very serious and, at this point, the only solution appears to be proctored testing. However, what about non-cognitive tests? In volume terms personality, work preference or similar tests are likely to be the main application of remote testing. What can we do about them? Facet5 uses **Response Pattern Analysis** and **Response Latency Analysis** to identify those responses that might suggest some form of Impression Management is occurring.

#### Response Pattern

For the most part, since the late 1980s, Facet5 has been used in a traditional, paper and pencil format. Although un-timed, experience has shown that most people take about 20-25 minutes to complete the questionnaire. During 2000 and 2001 we web-enabled Facet5 in response to demand from clients. Web administration is considered to offer advantages in terms of increased efficiency, centralised management of the database, ease of updating of libraries and, perhaps most importantly, remote data capture.

However, when data is collected by computer as opposed to on paper, questions arise about comparability of results. It is important to determine whether that the results are comparable or, if they are not, to know what the effect is.

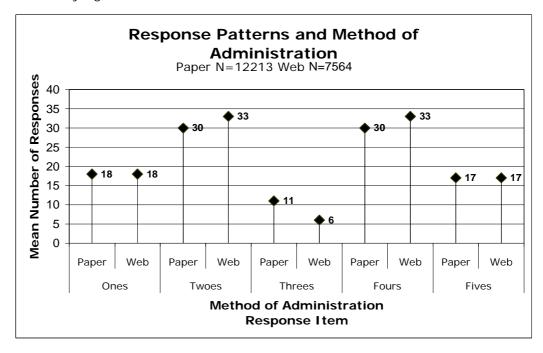
We have a large amount of data from paper-based responses that has allowed us to identify a "typical" response pattern to the 106 items in Facet5. Items use a 5-point scale and instructions include:

#### For example:

In business most people are prepared to help others	\1/ \2/ \3/ \4/ \3/	In business most people are motivated by personal gain
---	---------------------	--

If the statement on the left is most representative of your views, you should circle the figure <1>. If you feel the statement on the right is most typical, you should circle the figure <5>. Try not to fall back on the middle answer (<3>) unless all other answers are completely impossible for you.

Therefore we would expect that we would have a bimodal distribution with most answers falling onto 2 and 4. If web-based administration was going to have a significant impact on the response pattern it seems likely it would show up first here with a pattern which was significantly different. The figure below shows the actual Response Distribution for both paper and web based questionnaires. It can be seen that the patterns are broadly identical - none of the differences are statistically significant.



Note that with web administration there is a check to ensure that the number of 3s is not excessive. If the respondent replies with more than 18 3s then the system re-presents those items and give him/her the chance to check their answer.

From this we can be reasonably certain that the shift to web-based administration has not changed the way in which people respond to any significant degree. A second question however was whether a tendency to respond in a particular way was associated with certain personality factors. This was tested next.

#### Response Pattern and Personality

From the previous analysis it is clear that most people respond with a bimodal distribution of Facet5 answers. Most are 2s and 4s with fewer 1s and 5s and even fewer 3s. However it would seem possible that some personality styles are more likely to be more extreme in their answers (produce proportionally more 1s and 5s) while others would be more cautious and produce more 3s.

We tested this by sorting a large database according to how similar their response patterns were to the theoretical ideal pattern shown in the chart above. We then grouped them into 20% bands from Band 1 - "most similar to perfect" through to Band 5 "most dissimilar from perfect". Finally we looked at the mean differences for each factor within each band. This table is shown below:

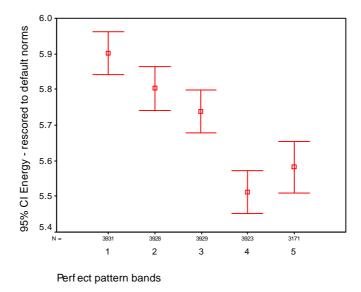
#### Mean Facet5 scores for Response Pattern bands (see above)

Response pattern bands	N=17797	Will	Energy	Affection	Control	Emotionality
1 – ideal	Mean	4.89	5.90	6.74	6.15	5.00
	Std. Dev.	1.84	1.94	1.88	1.91	1.73
2	Mean	4.89	5.80	6.67	6.10	5.07
	Std. Dev.	1.88	1.92	1.86	1.88	1.73
3	Mean	4.89	5.74	6.66	6.10	5.19
	Std. Dev.	1.83	1.91	1.80	1.81	1.73
4	Mean	4.82	5.51	6.59	6.02	5.18
	Std. Dev.	1.76	1.94	1.83	1.85	1.74
5 – less ideal	Mean	4.78	5.58	6.80	6.27	5.07
	Std. Dev.	1.93	2.08	2.09	1.99	1.90

An Analysis of Variance was computed to see whether any of these mean differences were significant enough to suggest that the degree to which a person responded with extreme answers was related to their personality style. In fact for all five factors there was a "significant" relationship. However such a large sample base is likely to yield results which though they might appear statistically significant are in fact of little practical use. An example of this can be seen below in the data for Energy.

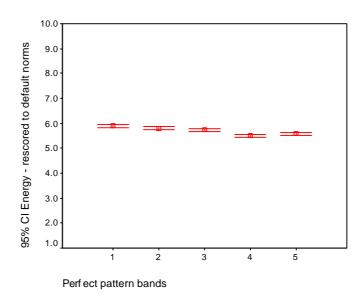
#### **Energy and Response Pattern**

The mean scores for Energy for each of the 5 bands are shown in the following chart:



This chart shows the Mean Score for each Pattern Band and the 95% confidence limits (the points that are the limits for 95% of the scores) for each Band.

From this chart is appears that there is a relationship. People whose response pattern is close to "ideal" (Band 1) seem to score slightly higher on Energy than those who are less "ideal". In fact the Anova is significant at the 0.000 level (df 4 and 18877, F=24.463). But when we look more closely at the figures we can see that it isn't anywhere near as significant as it might at first seem. For a start, the chart above has a very compressed vertical scale from Energy scores of 5.4 to 6. If we change the scale to give the complete Sten score range of 1 to 10 we get the chart shown below.



This looks very different and shows that, regardless of what the statistics say, there's not very much difference across the 5 bands. In fact if we check the actual proportion of the variance in the Energy scores that is linked to how "ideal" the respondent's pattern is, there is very little. Coefficient ETA is designed to do this. Eta and eta squared are measures of association. Eta squared is the proportion of variance in the dependent variable that is explained by differences among groups. The value of ETA squared for Energy across these pattern bands is 0.005 which means that only 0.5% of the variation in Energy scores can be attributed to how the person used the available responses on the scale. And Energy is the most significant of the relationships!

# **Impression Management**

Impression Management (IM) is an attempt (not necessarily deliberate) to answer questions in a way that will produce a profile that is different from the respondent's "true" or "natural" profile. There are three broad types of IM that might occur:

#### **Denial, Defensiveness or Suppression**

This is an attempt to suppress anything that might be perceived as negative. This can sometimes be identified by specific "marker" questions (16PF, OPQ etc) or by the proportion of "No" responses (Humm-Wadsworth, MMPI etc) or by some combination of the two. It can be argued that a candidate for a job would be expected to try to present the best possible self-portrait. Indeed there may be some concern about a candidate who did not try to do this. It is therefore likely that this type of IM is more prevalent in selection than development.

Indicators of this type of IM may be called Social Desirability, Motivational Distortion or Defensiveness. High scores on these scales are supposed to act as warning bells suggesting caution in interpreting the results. The person may not be being "open" or "honest"

#### Suggestibility, Faking Bad

This is the diametric and logical opposite of the first. Here a person tends to exaggerate faults or over-admit to possible problems. Such reactions are not uncommon in clinical cases (a cry for help?) but can also be seen in areas such as Career Counselling.

Such a response pattern is rarely picked up by marker questions. Very low Social Desirability or Motivational Distortion scales are usually seen as being "open" or "honest". Scales using an "Yes" or "No" format can identify such Suggestibility by a disproportionate number of "Yes" responses. Again caution is urged in interpretation.

#### **Templating**

Where a respondent has a mental image of "what is required" or the "ideal" profile and tries to adjust responses in such a way as to match this supposed "ideal". This is by far the most likely type of IM in selection cases and yet typical Social Desirability or Motivational distortion Scales do little to identify it. Psychometric folklore is littered with statements from people who claim to be able to manipulate questionnaires to be able to present any picture they want.

Over the years test developers have adopted a number of strategies to minimise the likelihood of IM and to identify it if it does occur. Some techniques include:

- Item ambiguity word the items so it is not obvious which factor it loads on.
- Neutral or balanced valence make it hard to identify which response,
   representing opposite aspects of a scale, is more desirable. This is not the

same as Ipsativity where the respondent is required to choose between statements representing different domains (some OPQ versions, DISC etc). Balanced valence uses pairs of statements that tend to be opposite in meaning and thereby represent opposite ends of a single scale. They may be arranged as separate statements (MBTI) or semantic differentials (Facet5).

- Marker items a group of items is included, which, it is assumed, represent
  the behaviour of "normal" people. These items will include some that admit
  negative characteristics on the assumption that most people have some "bad"
  elements in their make-up. Over denial of these items is seen as an attempt
  to appear unrealistically "good".
- Selective norming some may suggest that the responses only be compared to other people in a similar position e.g. applying for a job in retail sales. In this way all applicants are expected to have the same degree of motivation to distort and so the effect of IM will be cancelled out. However this assumes that all respondents will apply the same IM strategies to the same degree. It also raises the spectre of having to re-norm the profile when the person is hired. How do you then explain that the score on a scale was 7 when an applicant but it is now 9 as an employee?

Most test developers will use the first two methods to reduce the effect of IM. Some include the third but the fourth tends to be the domain of people with too much reliance on computer technology. The collection of multiple norm tables is technically very simple but psychometrically questionable and practically problematic.

When we first developed Facet5 in the late 1980s we attempted to address IM in the traditional ways. We created a set of items that, though work related, produced highly biased response patterns suggesting that people felt there was only one way to answer the question. These included such items as "I believe in democratic leadership". Virtually everybody agreed with this as a premise and when we created a scale including this and 11 other items, we had a well-balanced sten scale that had excellent psychometric properties. This putative IM scale correlated positively with Control and Affection suggesting that people responding in a "Socially Desirable" way were attempting to portray themselves as kinder, more responsible and self disciplined. Perhaps they actually are that "good" and it is not a distortion. It is known for example that MD and SD scores tend to correlate with elements of conscientiousness and empathy. In the 16PF the MD scores correlate with A+, C+,F+, G+ H+ L- M- O- Q2+ Q3+ and Q4-. Therefore people with high MD scores are seen as Warm-hearted, Happy-go-lucky, Venturesome, Emotionally Stable, Unperturbed, Relaxed, Conscientious, Practical, Self-sufficient, Controlled, and Trusting. In terms of second order factors broadly aligned with the Big5, these are Extravert, Conscientious and Stable. People who happen to score high on these scales will tend to have elevated MD scores as well. Cattell<sup>19</sup> in his extensive discussion of the impact of Motivational Distortion urges great caution in interpreting measures of distortion describing them as a "Temporary Compromise" while "more basic research proceeds." (p55.) He warns that automatic adjustment using such measures will by definition "take out real personality variance as well as motivational shift". (p56) The manual for the 15FQ <sup>20</sup> describes in detail the steps taken to measure MD/SD but then gives little advice as to what to do with it other than to explore more thoroughly at interview.

It can be seen that traditional approaches to IM are limited. It may be possible to say that a person is trying hard to look good, but perhaps you would expect this anyway. Apart from further exploration at interview there is little guidance as to what to do with the information. Do we infer that the person is lying/ faking or are they just "like that"? If they are faking, are they faking all the scales or just certain scales? It seems likely that people trying to present themselves as more outgoing and forceful will respond to those items which they perceive to be related to Outgoingness and Forcefulness. Items relating to Empathy, which they do not see as important, are likely to be subject to much less IM. Traditional approaches to identifying IM will not help much at all.

However there is encouragement from the research in to deliberate lying. Although this is an area in which folklore abounds (looking shifty, hesitant speech, fidgeting, avoiding eye contact, heightened GSR etc) some real evidence has come to light. A recent report<sup>21</sup> noted that under controlled conditions, a key indicator of a "lying" response was the delay in producing the response. Therefore a measure of an attempt to lie could be obtained from the latency of responses to questions. With web-based administration this becomes possible.

Let us take an example. A candidate for a sales role is keen to get the job and therefore wants to give a good impression. These are ideal circumstances for IM to occur. She has read the job advertisement, knows something about the company and has formed an opinion from the premises and the way that she's been received. Then she is faced with a series of questions that under normal circumstances, would appear to have neutral valence. However the situation is not neutral and therefore she will interpret each response against her in-built "ideal" and will adjust her response accordingly. When she finds an item that she interprets as "important" she will think a little more about it and what answer she should give. She may not "lie" but may easily decide to soften an otherwise strong response.

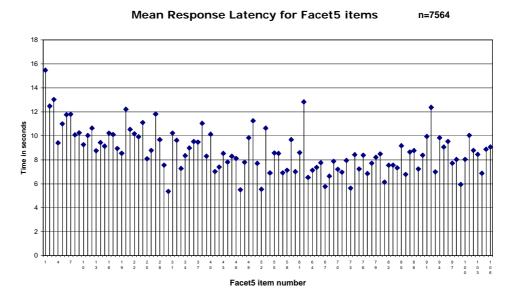
This decision process may be quite quick but will still be a little slower than her responses to other questions that she does not perceive as "loaded". There will be a delay and it can be captured.

Facet5 is, to our knowledge, the only model that adopts this approach to IM. The concept was first presented to the International Test User's Conference on Internet Based Testing in June 2002.<sup>22</sup>

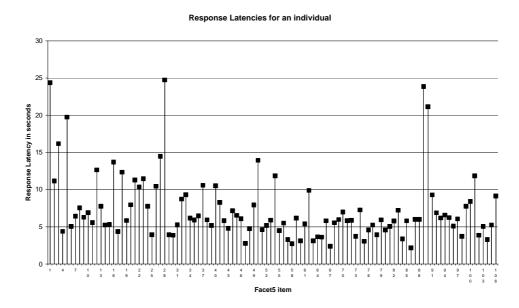
In addition to item ambiguity and neutral valence, it also uses response latency. The first two are designed to minimise the likelihood of IM and the third is intended to identify its effect if it does occur. By decomposing the responses into the Facet5 domains, we can tell not only if IM is present but also which domains are most affected.

# **Facet5 and Response Latency**

Facet5 captures the latency from the moment the item is presented to the time a response is given. The average response latency for all items is shown below.



As can be seen the median response latency was a little under 10 seconds. (We chose the median as opposed to the mean because web based administration is often accompanied by interruptions or distractions which lead to delays on occasional items.) There is a spike on the first item which we interpret as people getting used to the format, organising coffee etc. Such spikes also occur on individual records where the respondent is interrupted for some reason. A typical individual response pattern is shown below. As can be seen there are a number of spikes in the distribution of responses indicating interruptions or breaks.



For any individual there will be a range of response times. Some people will be quick suggesting almost an immediate commitment. Others will be more deliberate and considered. If there is no attempt at IM the quick and the slow

items will be evenly distributed across each of the domains being measured. If on the other hand there is attempted IM then the distribution will be uneven, with longer responses for those domains which are deemed to be "loaded".

# **Response Latency and Personality**

A number of Facet5 users have asked whether the tendency to hesitate over some questions (i.e. to generate a distorted Latency chart) is in itself a personality trait. If so then it should be related to the main Facet5 factors. We looked at this in two stages:

- Are some people just naturally slower to make decisions and
- Do some people naturally have a tendency to try to present in a particular light.

#### Speed of decision making

The following table shows the relationship between response latencies and the Facet5 main factor raw scores. To get these values we first calculated the average response latencies across all 106 items for each person. We then searched for outliers whose average response times were distorted by extreme latencies. We excluded the 2.5% of people whose average latency was more than 1.96 Standard Deviations above the mean.

#### **Mean Raw Score Differences**

Raw Score for	Average Response time (in seconds)	N	Mean Latency	Std. Deviation
Will	>= 9.53	3546	46.35	7.18
	< 9.53	3605	47.11	7.51
Energy	>= 9.53	3546	52.28	7.68
	< 9.53	3605	52.75	8.11
Affection	>= 9.53	3546	65.09	8.38
	< 9.53	3605	64.93	8.91
Control	>= 9.53	3546	60.17	9.22
	< 9.53	3605	57.97	9.90
Emotionality	>= 9.53	3546	46.93	9.60
	< 9.53	3605	46.93	9.78

#### **Significance of Mean Differences**

Tests of significance for these mean differences gave the following results.

Facet5 Factor	t	df	Sig.	Mean Diff
Will	-4.352	7149	.000	-0.76
Energy	-2.531	7149	.011	-0.47
Affection	0.753	7149	.452	0.15
Control	9.691	7149	.000	2.19
Emotionality	0.006	7149	.995	0.00

At first glance this seems to indicate that there is a difference linked to a person's Facet5 score. In particular it appears that people with Lower Will, Higher Control and even Lower Energy tend to take longer to make decisions in the Facet5 questionnaire. This in fact would seem intuitively sensible.

#### **Effect Size**

However these t-tests are misleading. The sample sizes are very large and as a result even very small differences can be "statistically" significant. A better measure is to look at the "Effect Size" of these differences – i.e. are the differences big enough to be of practical value. We tested this using Cohen's D statistic applied to the means and standard deviations above. The results were:

Raw Score for	N	Mean Raw Score	Std. Deviation	Cohen's D
Will	3546	46.35	7.18	Negligible
	3605	47.11	7.51	Negligible
Energy	3546	52.28	7.68	Negligible
	3605	52.75	8.11	Negligible
Affection	3546	65.09	8.38	Negligible
	3605	64.93	8.91	Negligible
Control	3546	60.17	9.22	Small
	3605	57.97	9.90	Oman
Emotionality	3546	46.93	9.60	Negligible
	3605	46.93	9.78	

On this basis we can see that although Will, Energy and Control were all seen to be "signifcantly" linked to Response Latency it was only Control where the link was sufficiently strong to be worthy of consideration. These results indicate that people with higher Control scores tend to be a little slower and more reflective in their answers but that the other factors have little effect.

#### Facet5 Scores and IM

It is also possible that some people naturally try harder to present themselves in a "good" light. If this is true then it will be reflected in a link between individual personality factors and the measure of Response Distortion. These correlations are shown in the table below.

# Correlation between Facet5 and amount of distortion in the responses

Facet5 Factor	Correlation (Significance)
Will	0.064 (.118)
Energy	0.069 (.091)
Affection	-0.060 (.146)
Control	-0.010 (.802)
Emotionality	-0.053 (.200)
N=596	

From this table it is clear that there is no relationship between a respondent's Facet5 scores and how distorted the response latencies are.

#### The Effect of Valence

As has been mentioned the situation a person finds themselves in when they are asked to complete Facet5 can vary in its "valence". A high Valence situation is one where there is a lot of importance attached to the results of the Facet5 profile. A low Valence situation is one where the results of the Facet5 profile have little real effect on the person. Recruitment would be high valence, completing it out of interest would be low valence. It is known that valence can affect the scores that a respondent gets as they try to present in a particular way.

We tested two aspects of this. First we looked to see whether actual Facet5 scores were different depending on the situation and second, we checked to see whether the Response Latencies were different in different situations.

#### Valence and Facet5 scores

Within a large db of over 19000 cases there were a number of people who were known to have completed Facet5 as part of a recruitment exercise. There was a similar number who completed it as part of some development process such as team building or some other development programme. We calculated the mean Facet5 scores for the two groups as follows:

	Reason for profile	N	Mean	Std. Dev.	T Sig	Cohen's D
Will	Selection	1693	45.47	7.01	-8.8	0.3 – small
	Development	1828	47.61	7.39	0.000	effect
Energy	Selection	1693	54.63	7.12	14.4	0.49 – medium
	Development	1828	50.89	8.21	0.000	effect
Affection	Selection	1693	67.30	8.74	8.5	0.29 – small
7	Development	1828	64.91	8.01	0.000	effect
Control	Selection	1693	63.27	8.80	23	0.78 – Iarge
00.11.101	Development	1828	56.11	9.59	0.000	effect
Emotionality	Selection	1693	43.93	8.65	-12.8	0.43 – medium
	Development	1828	47.95	9.86	0.000	effect

Note that in this analysis we used raw scores rather than Sten scores. In each case there was a significant difference between the two sets of mean scores. However this was partly an illusion due to the large sample sizes. Cohen's D tells us that the biggest effect was for Control where people who are applying for jobs tend to represent themselves as **more** Disciplined and Responsible. They also claim they are somewhat **more** Outgoing (Energy) and **less** Anxious and Intense (Emotionality). The impact on Will and Affection was less significant.

It should be noted that this effect has been seen in other questionnaires.

#### Valence and Response Latencies

If Response Latency Analysis is measuring what we think it is then it should be able to differentiate between high and low valence situations.

We tested this by dividing a sample of people according to the reason that they completed the profile. One group (Group A) completed it as part of a recruitment process and therefore were labelled as "High" valence. The other group (Group B) completed it as part of an investigation into Facet5 itself and were classed as "low valence". The measure we used was the DSQ calculated to tell us how much distortion is in the profile.

Group A would be expected to show "slow item distributions" that were uneven across all five domains as they searched for meaning and salience in the questions. Group B should have slow item distributions that are more even since they are less concerned with the outcome of the questionnaire. A simple way of assessing this would be to calculate  $D^2$  distributions for the two groups and see if they differ.  $D^2$  was calculated in the traditional way as follows:

$$D^2 = \sum_{i=1-n} (X_i - 3)^2$$

where X is the number of items related to each factor for person X and 3 is the expected value for a "perfect distribution". You can see from this that if each factor had 3 items associated with it then this value would be 0 indicating there is no attempt to Impression Manage. The results were as follows:

	Group A (Hi IM)	Group B (Lo IM)
N	18	17
Mean D <sup>2</sup>	10.47	7.05
SD of D <sup>2</sup>	3.57	4.19
	T = 0.	01 df=33

The t-value tested the significance of the difference between these mean scores and although the sample size is quite small the differences are highly significant. In fact Cohen's test for Effect Size (Cohen's D) is 0.91 which is declared to be a "large effect".

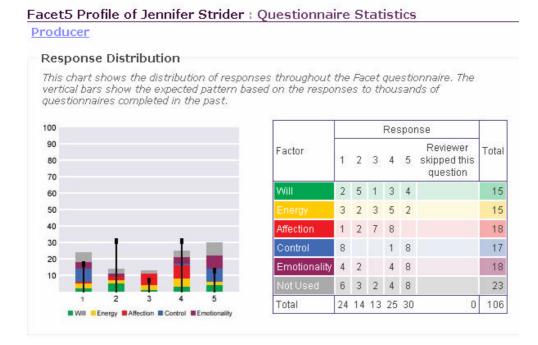
So RLA seems to work. When you have a strong desire to present in a particular way or "look good", your RLA's become uneven. We believe that this is because a greater amount of "cognitive load" is being applied to items "perceived" to be salient.

# **Reporting and Interpreting Response Latency**

Facet5 reports Impression Management graphically on the "Statistics Page". This page presents three analyses:

#### 1. Response Pattern

The response pattern shows the actual distribution of the 106 answers given by a respondent. It shows this in both a table and a chart as follows:

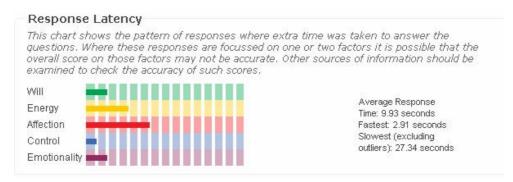


The chart shows the expected distribution (black bars) and the actual distribution (coloured bars). The coloured bars are coded to indicate the Facet5 factor. The table to the right of the chart gives the exact distribution.

A "perfect" Response pattern would be that which matched the "expected" pattern exactly. It would be bi-modal with most answers being either 2 or 4. It is rare for there to be vastly too many threes since the Facet5 system is designed to avoid this. Respondents are instructed to try to avoid the "3"s unless they really cannot decide. It they answer with more than 18 threes the system will re-present those items and ask the respondent to confirm that that is what they meant.

#### 2. Response Latency

The response latency is presented as a chart as follows:



To produce this chart Facet5 follows these steps:

- 1. Calculate the response times for all items
- 2. Calculate intra-individual mean response time (i.e. the average response time for that person)
- 3. Calculate the intra-individual standard-deviation of response time.
- 4. Remove any responses more than 2 standard deviations above the mean response time.
- 5. Sort the remainder from quickest to slowest.
- 6. Select the slowest 15.
- 7. Count how many of these are associated with each Facet5 factor.
- 8. Present as a chart as shown above.

Each vertical bar in the chart represents a single item. In this chart you can see that, for this respondent, 2 of the 15 items related to Will, 3 to Energy, 6 to Affection, 1 to Control and 2 to Emotionality. The legend shows that the respondent's average response time was 9.93 seconds, her quickest was 2.91 seconds and her slowest (with those more than 2 standard deviations above the mean removed) was 27.43 seconds.

#### 3. Response Distortion

The Response Latency chart provides an indication of whether there is an attempt to "Impression Manage". However it is difficult to estimate the degree of distortion just by looking at the chart. The Response Distortion bar is designed to help user to see how distorted the latencies are.

# Response Distortion This chart shows the level of distortion in the time taken to answer questions relating to a particular Factor. A high level of distortion indicates that Jennifer may have been overly cautious with certain types of questions.

Minimum Distortion Maximum Distortion

\* 10 20 30 40 50 60 70 80 90 100

This profile is rather distorted. Only 1 in 10 profiles are more distorted so you should check the Response Latency chart for factors where Jennifer has spent a disproportionate amount of time. Interpret the profile with caution. You should check the specific items that caused Jennifer to think longer to see if there is confusion or mis-understanding.

To produce this bar, Facet5 goes through a number of steps as follows:

#### 1. Calculate DSQ(resp)

This is the distance between the actual Response Latencies and the "ideal" Response Latency. The expected value is 3 under each factor so Distance from 3 across the board is:

#### 2. Calculate DSQ(max)

This is the maximum possible distortion you can get which would be all 15 on one factor (e.g. Will) and zero on all others.

DSQ(max)=SQRT((15-3)
$$^2$$
)+((0-3) $^2$ )+((0-3) $^2$ )+((0-3) $^2$ )+((0-3) $^2$ )+((0-3) $^2$ )=13.41641

#### 3. Compute DSQ(nrm)

This is a normalised value obtained by:

This will give a value between 0 (all Factors have 3 items) through to 1 (1 factor has 15 items and all others have 0).

#### 4. Compute DSQ(pct)

Multiply DSQ(nrm) by 100 to give a value between 1 and 100. The value of 0 on the chart is labelled "Minimal distortion" and a value of 100 is labelled "Maximum distortion".

# 5. Lookup DSQ in the following table:

Value of DSO	Label
IF DSQ <=180.00	This profile is rather distorted. Only 1 in 10 profiles are more distorted so you should check the Response Latency chart for factors where Jennifer has spent a disproportionate amount of time. Interpret the profile with caution. You should check the specific items that caused Jennifer to think longer to see if there is confusion or misunderstanding.'
IF DSQ <=29.95	This profile is a somewhat distorted. Only 20% of profiles are more distorted so you should check the Response Latency chart for factors where Jennifer has spent a disproportionate amount of time.'
IF DSQ <=27.55	This profile is a little distorted. Only 30% of profiles are more distorted so you should check the Response Latency chart for factors where Jennifer has spent a disproportionate amount of time.'
IF DSQ <=25.00	There is only average distortion. 40% of profiles are more distorted so Jennifer is neither more nor less cautious than other people - the profile can be interpreted with confidence.'  There is only average distortion. 50% of profiles are more
IF DSQ <=22.19	distorted so Jennifer is neither more nor less cautious than other people - the profile can be interpreted with confidence.'
IF DSQ <=19.67	There is very little evidence of distortion. 60% of profiles are more distorted. Jennifer has been quite open in responding and the profile can be interpreted with confidence.'
IF DSQ <=17.08	There is only minor evidence of distortion. 70% of profiles are more distorted so the profile can be interpreted with confidence.' There is little evidence of distortion. 80% of profiles are more
IF DSQ <=14.31	distorted. Jennifer has been very open in responding and the profile can be interpreted with confidence.'
IF DSQ <=11.33	This profile is not distorted and can be interpreted with confidence'

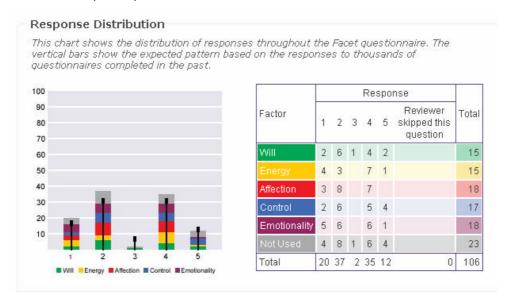
#### 4. List of Slowest Items

The three charts shown above are all printed in the Statistics section of the Facet5 report. However there is additional information available to the Facet5 user on screen. The system will provide a list of the 15 selected "slow" items sorted by Facet5 factor so you can see what was causing the person to think harder. It looks like this:

Qu	estions relating to Will	
38	I would rather risk hurting someone than evade the truth	It is always better to give people support and understanding
25	I believe in telling people exactly how I feel	I tend not to show my true feelings
Qu	estions relating to Energy	
46	I find I get inspiration from having people around me	l like to work alone in order to concentrate
89	I like to have someone I can bounce ideas off	If I have a problem I like to be able to think it through myself
9	I prefer to know what to expect	It is the risk that makes a job interesting
105	I like to finish one thing at a time	I'm best at getting things started and then moving on
Qu	estions relating to Affection	
49	To be frank some people are beyond help	All most people need is a little help and understanding
65	I put other people's needs ahead of my own	l believe in looking after Number One
22	Everybody has the right to a decent job	Many unemployed are unemployable
66	l do not tolerate even small mistakes at work	At work I believe in making allowances for circumstances
1	In business most people are prepared to help others	In business most people are motivated by personal gain
54	Most people can be trusted	Most people will take advantage of a situation
Qu	estions relating to Control	
45	I don't mind routine work if I can see a purpose to it	I have great difficulty in settling down to routine work
Qu	estions relating to Emotionality	
56	I am not influenced by the importance of an event	l get tense before an important event
92	l don't know how some people can relax despite any problems	l don't know why some people seem to get so upset about things

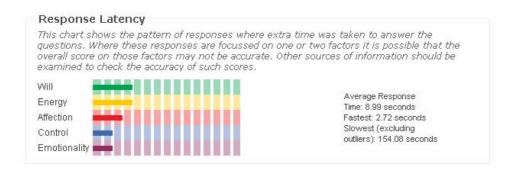
## **Response Latency - Case 1**

An Operations Manager in an Australian Bank completing the questionnaire out of interest. This is a "low valence" situation since there was no preferred outcome so we would assume IM to be minimal. The figure below shows the response pattern for all items.



There is no evidence of central tendency and the pattern follows expected bimodal distribution reasonably closely.

The figure below shows the Response Latency Analysis (RLA) for this person and as can be seen slow responses are spread relatively evenly across all domains.

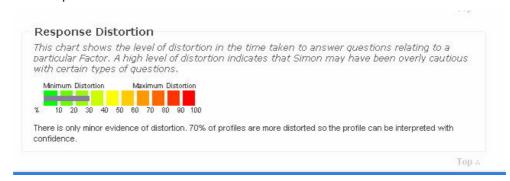


Will and Energy have 4 items, Affection 3 items and Control and emotionality 2 items each. Other data shown is:

- The average response time is 8.99 seconds
- The fastest response time: 2.72
- The slowest response time: 154.08.

From this data we can assume that the profile is a fair representation of the respondent and later feedback confirmed this.

The Response Distortion Chart for this candidate is shown below:



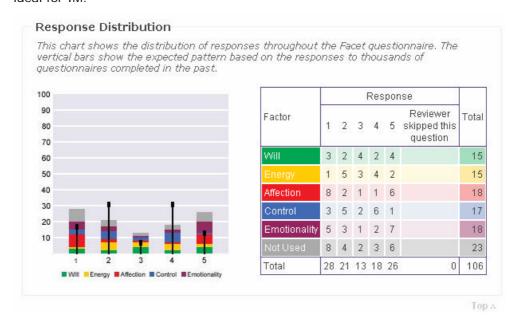
It can be seen that the responses are quite free of distortion when compared to other people who have completed the questionnaire.

The actual questions on which the respondent reflected more were:

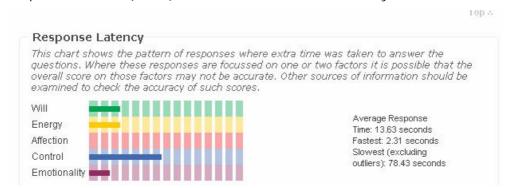
Questions relating to Will	
21 It is important for a manager to fit in with what the team wants	It is important for a manager to set very clear goal for other
5 I would prefer to be known as decisive	l would prefer to be known as supportiv
90 I have been accused of being stubborn and inflexible	I have been accused of being too easily swaye
95 I like to see how things pan out before taking on responsibilities	I have often been the first to take responsibility fi thing
Questions relating to Energy	
People who work together should get to know each other socially	I think it is best to keep work and private live separa
62 I'm better working through ideas one-to-one than in a group	l am at my best when defending my ideas on n fe
78 I react quickly when something happens	I prefer to think things through before actir
9 I prefer to know what to expect	It is the risk that makes a job interestir
Questions relating to Affection	
65 I put other people's needs ahead of my own	l believe in looking after Number Or
49 To be frank some people are beyond help	All most people need is a little help ar understandir
In business most people are prepared to help others	In business most people are motivated I personal ga
Questions relating to Control	
3 I'm impatient to get started on new tasks	l can't leave a task till it is finishe
13 I enjoy following a task through to the finish	Once the initial interest wears off I get bore
Questions relating to Emotionality	
32 I just take things as they come	As an important event gets closer I tend to wor more about
92 I don't know how some people can relax despite any problems	l don't know why some people seem to get s upset about thing

## **Response Latency - Case 2**

A candidate for a position with a Film and Media Company. She had recently been made redundant and had just bought a new home. Conditions are ideal for IM.



The Response Distribution Chart shows that we have a fairly even spread across the 5 response categories which means that the extremes are over-represented and the more moderate 2 and 4 scores are under-represented. This suggests that the overall profile may be slightly exaggerated. When we look at the response latencies (below) we can see the distribution is very uneven.



Control has a very high proportion of the slow items, suggesting that the respondent had been thinking very hard about items relating to Discipline and Work Ethic.

The Response Distortion Chart for this candidate is shown below:



The distortion level is higher than 90% of respondents which suggests that care should be taken interpreting it. It is likely that she was being very careful answering the questions, particularly those to do with Control.

When we look at the items that she reflected longest on they were:

42	I am quite easy to convince	It takes a good argument to make me change my mind
26	I often join in an argument to make my point	I can't bear arguments for any reasor
21	It is important for a manager to fit in with what the team wants	It is important for a manager to set very clea goals for others
Qu	estions relating to Energy	
35	People who work together should get to know each other socially	I think it is best to keep work and private lives separate
105	l like to finish one thing at a time	I'm best at getting things started and ther moving or
62	I'm better working through ideas one-to-one than in a group	l am at my best when defending my ideas or my fee
Qu	estions relating to Control	
61	I don't like to commit myself too far in advance	I like to plan my commitments well in advance
23	l like companies where there is an established structure	I don't like the idea of hierarchies in companie
98	It is important to have rules so people know where they stand	Rules stifle individualit
3	I'm impatient to get started on new tasks	l can't leave a task till it is finished
7	There is no need to get too concerned about details	Sloppy workmanship is a sign of ar irresponsible attitude
34	At work I believe in taking things seriously	Work doesn't have to be a solemn affai
2	l insist on high standards at all times	Different situations require differen approaches
Qu	estions relating to Emotionality	
	I find my views of what I want can change from day to day	I have a clear idea of what I wan
14	Once I've mastered a situation I like to move on to something new	I like to stick to what I know I'm good a

During feedback it would be wise to explore the sorts of issues that are raised by the Control questions. It can be seen that they relate to issue to do with planning, process and work standards.

# **Summary**

The adoption of web-based administration for Facet5 promised many advantages but also raised questions regarding comparability of results. The evidence to date suggests that the pattern of responses is broadly similar so we can have confidence in the comparability of the outcomes. However, the application of Response Latency Analysis (RLA) to the results would appear to be a powerful new tool in the identification and understanding of cases where Impression Management (IM) might be distorting the results.

For any Facet5 profile now produced on the web we advise people to look first at the profile statistics. Only when they are confident that the response pattern is as expected and the response latencies not unusually distorted, should they proceed to interpretation. If they do not have this confidence then they really do need to resort to other indicators but at least they know which areas need to be addressed.

The next area of research would be to test the RLA model in controlled conditions where Impression Management is deliberately attempted. In addition we need to find out the direction of IM. Whether we will ever be able to automatically compensate for IM and rescale the scores appropriately is in doubt without knowingly throwing out some of the real rich tapestry of personality that we really need to know about.

#### **References & Notes**

1Some people may comment that they do not feel some of the phrases are truly antonymous ie. not true opposites. This is normal due to the different semantic interpretations people will put on words.

2 Buckley, NL & Williams RJ, "Testing on the web - Response patterns and Impression Management", Selection & Development Review, British Psychological Society, in press

3More detailed analysis of the structures of each factor is planned to ascertain if any of the sub-factors are indeed useful.

These would then form the basis of new, specialised versions of Facet.

4lt is technically possible to obtain Sten scores beyond 1 and 10 but these should be considered as the normal limits.

5 Christiansen, N.D., Goffin, R.D., Johnston, N.G., Rothstein, M.G. "Correcting the 16PF for Faking: Effects on Criterion related Validity and Individual Hiring Decisions", J. Personnel Psych., V47, No.4, Winter 1994

6Osgood, C.E. (1952) "The nature and measurement of meaning" Psychological Bulletin 49 197-237

7 People familiar with Brand's work will recognise that this cone represents only one half of his theory. The full model includes Intelligence or "g" as a complement to Neuroticism to produce his "Double Cone". The positive aspects of "g" counter the generally negative effects of Neuroticism. However, in most management development programmes "g" is ignored.

8 Buckley, NL, "Facet5 User's Manual", Redfield Consulting, 1998

9 For a discussion on research in this field see Kristof, Amy L, "Person-Organisation Fit: an Integrative review of its Conceptualisations, Measurement and Implications", Personnel Psychology, Vol. 41, No 1, 1996, p3

10 Cattell, RB, Eber, HW and Tatsuoka, MM, "The Handbook for the Sixteen Personality Factor Questionnaire (16PF)", 1970, IPAT

11 Smith, P, "The UK Standardization of the 16PF5: A Supplement of Norms and Technical Data", ASE, 1994

12 SHL, OPQ Manual, Saville & Holdsworth Ltd,

13 Briggs-Myers, I and McCaulley, MH. "Manual: A Guide to the Development and Use of the Myers-Briggs Type Indicator", CPP 1989

14 Briggs-Myers, I and McCaulley, MH. "Manual: A Guide to the Development and Use of the Myers-Briggs Type Indicator", CPP 1989, Table 10.5 Amherst Class of 1963 -14-16 months

15 Ibid Table 10.5 University of New Mexico - 9-21 months

16 Cattell, RB, Eber, HW and Tatsuoka, MM, "The Handbook for the Sixteen Personality Factor Questionnaire (16PF)", 1970, IPAT p31 Table 5.2 Males - 4 year

17 Ibid , P31 Table 5.2 Females - 4 years

18 For a fuller discussion of issues of validity see any major psychology text such as ANASTASI, A. "Psychological Testing", Collier MacMillan International, London

19 Cattell, RB, Eber, H, Tatsuoka, MM, "Handbook for the Sixteen Personality Factor Questionnaire (16 PF)", IPAT, 1988

20 Psytech, "The Fifteen Factor Questionnaire – Technical Manual",

21 Bull, R, "After the Facts", The Psychologist, BPS, November 2001

22 Buckley, N and Williams R, "Response Patterns and Impression Management", paper presented to the ITC Conference – Winchester UK June 2002-05-8